

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Sun et al.

Serial No.: 10/726,130

Filed: 12/1/2003

Title: APPARATUS AND METHOD FOR  
USE IN FULFILLING  
ILLUMINATION PRESCRIPTION

Group Art Unit:

2873

Examiner: Sugarman

Attorney Docket No.: 3084.017

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- ☒ under 37 CFR 1.97(c) together with either a:  
     ☐ Statement under 37 CFR 1.97(e), or  
     ☒ a \$180.00 fee under 37 CFR 1.17(p), or  
     (After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)

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- ☐ under 37 CFR 1.97(d) together with a:  
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X Applicant(s) submit herewith Form PTO/SB/08A Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

The items listed on PTO/SB/08A are either in the English language or an English translation is provided, therefore, no further explanation is required.

It is requested that the information disclosed herein be made of record in this application.

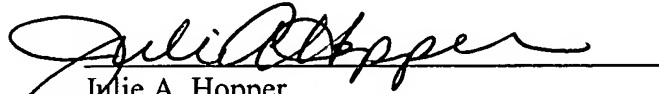
Respectfully submitted,

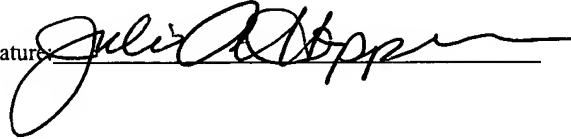
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SINSHEIMER, SCHIEBELHUT &  
BAGGETT

Date of Deposit: July 26, 2005

Typed Name: Julie A. Hopper

  
Julie A. Hopper  
Agent for Applicant(s)  
Reg. No. 50869

Signature: 

Date: July 26, 2005

Telephone No.: 858-587-7649



PTO/SB/21 (08-03)

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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)		Application Number	10/726,130
		Filing Date	Dec 1, 2003
		First Named Inventor	Sun, Yupin
		Art Unit	2873
		Examiner Name	Sugarman
Total Number of Pages in This Submission	4*	Attorney Docket Number	3084.017

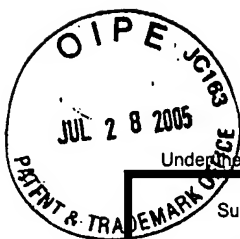
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<b>Remarks</b> *Exclusive of copies of search reports.		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	SINSHEIMER, SCHIEBELHUT & BAGGETT Julie A. Hopper (Reg. No. 50869)
Signature	
Date	July 26, 2005

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)			<b>Complete if Known</b>		
			Application Number	10/726,130	
			Filing Date	12/1/2003	
			First Named Inventor	Sun et al.	
			Art Unit	2873	
			Examiner Name	Sugarman	
Sheet	1	of	1	Attorney Docket Number	3084.017

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		International Search Report. PCT/US03/38024, November 10, 2004.	
		International Search Report. PCT/US04/14938, March 1, 2005.	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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